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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,743	09/17/2001	Bobby Babbrah	32643.3550

## CONFIRMATION NO. 9477

## FORMALITIES LETTER



20322  
SNELL & WILMER  
ONE ARIZONA CENTER  
400 EAST VAN BUREN  
PHOENIX, AZ 850040001



Date Mailed: 10/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Drawings must be in dark ink (not pencil), except where color drawings or photographs are permitted.

*A copy of this notice **MUST** be returned with the reply.*

*M*  

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8

I hereby certify that this correspondence and attached documents in response to a Notice to File Missing Parts of Application, pursuant to 37 C.F.R. §1.8, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner of Patents and Trademarks, Washington D.C. 20231-9998, on:

Date: APRIL 1, 2002

By: Buneka Sumway  
Signature of person depositing mail

PATENT

IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

Applicant(s): Babbrah  
Serial No.: 09/955,743  
TITLE: Methods and Apparatus for a  
Distributed Enterprise Portal  
Architecture

Docket No.: 32643.3550  
Filed: September 17, 2001

RECEIVED

APR 17 2002

OFFICE OF PETITIONS

TRANSMITTAL OF MISSING PARTS FOR  
PATENT APPLICATION PURSUANT TO  
37 C.F.R. §1.53(b)

Assistant Commissioner of Patents  
BOX MISSING PARTS  
Washington, D.C. 20231-9998

04/15/2002 AN0000155 192814 09955743  
01 FC:201 370.00 CH  
02 FC:205 65.00 CH  
03 FC:122 130.00 CH  
04 FC:217 460.00 CH

Sir:

In response to the Notice to File Missing Parts of Application mailed on October 31, 2001 in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the subject patent application. In accordance with 37 C.F.R. 1.17(h), a copy of the claims commencing on a separate sheet are enclosed, and in accordance with 37 C.F.R. 1.84, substitute drawings in dark ink are enclosed.

**2. Fee Calculation**

Declaration Surcharge (\$130)	=	130.00
Petition under Section 1.47(b)	=	130.00
Statutory Basic Filing Fee	=	70.00
Total Claims	6 minus 20 = 0 x \$ 18.00 =	0.00
Independent Claims	1 minus 3 = 0 x \$ 84.00 =	0.00
Multiple dependent claim fee, if applicable	\$280.00 +	N/A

Fee calculation, request for extension of time pursuant to 37 C.F.R. §1.136(a)

[ ] Missing parts filed within first month after due date - add	\$ 110.00 +	0.00
[ ] Missing parts filed within second month after due date - add	\$ 400.00 +	0.00

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U.S. DEPARTMENT OF COMMERCE

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<input checked="" type="checkbox"/>	Missing parts filed within third month after due date - add	\$ 920.00 +	920.00
<input type="checkbox"/>	Missing parts filed within fourth month after due date - add	\$1440.00 +	0.00
		<b>Subtotal:</b>	<b>\$1840.00</b>
			\$920.00

If one of the "small entity" boxes above is checked, enter half (1/2) of the Subtotal and subtract.

Add: Fee (\$40.00) for recording assignment and other fee(s):	<b>TOTAL FILING FEES:</b>	\$920.00
	<b>TOTAL FEES ENCLOSED:</b>	<u><b>\$920.00</b></u>

**3. Enclosures (check as applicable)**

- Notice of Missing Parts (copy)
- Petition to Accept Declaration under 1.47(b) for Nonsigning Inventor
- Check for fees
- Assignment and required Recordation Form Cover Sheet
- Information Disclosure Statement pursuant to 37 C.F.R. §§1.97-1.98 (with PTO Form 1449 and copies of citations)
- Other:

Extra copies of this letter:

- for charge to deposit account
- for assignment

**4. Manner of Payment**

- Please charge Deposit Account No. 19-2814 in the amount of \$920.00 A duplicate copy of this sheet is attached.
- Check number \_\_\_\_ in the amount of \_\_\_\_ is attached.

This statement does NOT authorize charge of the issue fee. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (deficiency only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814 for which purpose a duplicate copy of this sheet is attached.

Respectfully submitted,

Dated: 4/1/2002

By   
Daniel R. Pote  
Reg. No. 43,011

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